



DR. BUU NYGREN *PRESIDENT*
RICHELLE MONTOYA *VICE PRESIDENT*

The Navajo Nation | Yideeskáądi Nitsáhákees

April 19, 2023

TRANSMITTAL

TO : Calvin Castillo, Executive Director
 Division of Community Development (DCD)

FROM : Cshwiny
 Cordell Shortey, Contracting Officer
 Contracts & Grants Section (CGS) / OMB

SUBJECT : BU on Budget for Projects / Activities for White Cone Chapter House, Greasewood Springs Chapter House, Teesto Chapter House, Indian Wells Chapter House, & Dilkon Chapter House - ARPA Funds Delegate Yazzie Region

I. Information on Contract (per Original Award):

White Cone Chapter House, Greasewood Springs Chapter House, Teesto Chapter House, Indian Wells Chapter House, Dilkon Chapter House		U.S. Treasury American Recovery Plan Act (ARPA)	21.027
Title of Contract		Funding Agency	CFDA No. - Federal
CD-69-22	\$ 8,802,340.00	2022	03/11/2021 to 12/31/2026
Grant No.	Amount	Fiscal Year	Term - Begin and End Date

II. Data Entered in FMIS Regarding:

New Contract or Grant Company No. 8059 Business Unit (K#) K2115123 to K2115131

Contract Mod No. _____

Amt of Budget \$6,225,968.01 \$0.00 to \$6,225,968.01

AMOUNT FROM TO

Budget Period - Extend End Date: From _____ To _____

Other, specify: _____

Authorizing Document - Attached:

Contract / Agreement - Date executed _____

NNC / Committee Resolution - No. & Date NN Council Resolution CD-69-22

Other, specify: Budget on projects / activities for Chapters listed above submitted by NN FRF Office

III. Comments by CGS:

This Transmittal documents budget entered in FMIS on \$8.2 mil ARPA funds allocated to CD Cherilyn Yazzie Region. Budget received on February 10, 2023 is authorized for implementation pursuant to FY 2023 NN BIM Appendix L Section II. B. and concurred by Navajo Nation Fiscal Recovery Fund (NN FRF) Office by memorandum of February 10, 2023. Delay in BU setup is due to incorrect object code on budget. NN FRF Office submitted corrected budget to CGS on April 10, 2023. Y-T-D budget entered into FMIS for CD Yazzie Region is \$6,225,968.01

Attachment
 Copy: Contract files
 Contract Accounting / OOC / DPM
 Tom Platero, Executive Director - NN FRF Office

Revised February 2023

**Checklist of Approved Documents in Resolution Approving Chapter/Regional Expenditure Plan
(Ready for BU Setup)
As of February 10, 2023**

	Description of CD Project	Approved NIN Council Signed Resolution	Approved DOI IED	Appendix A w/ Signatures	Budget Forms 1,2,4	Program Budget Summary	Approved Chapter Resolution	Amount	Notes	Ready for OMB
K2115123	1. White Cone Chp Community Cemetery	X	X	X	X	X	X	\$ 1,000.00	New DCD Exc Director signed budgets	14. X
K2115124	2. Greasewood Springs Chp Reservoir/Aquifer Recharge Project	X	X	X	X	X	X	\$ 200,000.00	New DCD Exc Director signed budgets	15. X
K2115125	3. Teesto Chp Heavy Equipment Purchase (John Deere 724P)	X	X	X	X	X	X	\$ 317,445.81	New DCD Exc Director signed budgets	16. X
K2115126	4. Teesto Chp Feasibility Studies for all washes in Teesto Service Area	X	X	X	X	X	X	\$ 300,000.00	New DCD Exc Director signed budgets	17. X
K2115127	5. Teesto Chp 2021 John Deere 324G with 2021 JRB 48Forks	X	X	X	X	X	X	\$ 53,828.20	New DCD Exc Director signed budgets	18. X
K2115128	6. Indian Wells Chp Housing Renovations	X	X	X	X	X	X	\$ 1,760,468.00	New DCD Exc Director signed budgets	19. X
K2115129	7. Dilkon Chp Home Rehabilitation Project	X	X	X	X	X	X	\$ 1,760,467.00	New DCD Exc Director signed budgets	20. X
K2115130	8. White Cone Chp House Repair & Improvement	X	X	X	X	44	X	\$ 1,759,468.00	New DCD Exc Dir. Signed budgets. Appendix A	
K2115131	9. Teesto Chp ADA Bathroom Additions for 10-Families	X	X	X	X	X	X	\$ 73,291.00	New DCD Exc Director signed budgets	21. X
	Total:							\$ 6,225,968.01		

NNDOJ
Initial Eligibility
Determination



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

DOREEN N. MCPAUL
Attorney General

KIMBERLY A. DUTCHER
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS



RFS/HK Review #: HK0250

Date & Time Received: 12/2/2022 16:04

Date & Time of Response: 9 December, 2022; 1:59 pm

Entity Requesting FRF: Indian Wells Chapter

Title of Project: Housing renovations

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$1,760,468

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure


U.S. Department of Treasury Reporting Expenditure Category: 2.18. Housing Support: Other Housing Assistance

Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments:

Name of DOJ Reviewer: Adjua Adjei-Danso

Signature of DOJ Reviewer:  _____

Disclaimers: An NNDOJ Initial Eligibility Determination will be based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use - it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

Appendix A

Per

BFS-31-21

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Indian Wells Chapter Date prepared: 8/22/22

Chapter's mailing address: P.O. Box 3049 Indian Wells, Az. 86031 phone/email: 928-654-3289/3280 website (if any): indianwells@navajochapters.org

This Form prepared by: Sherry Dick phone/email: 928-654-3280
Community Services Coordinator sherry.dick@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Housing renovation, modernization & weatherization

Chapter President: Ricky Nelson phone & email: 928-606-7375 iwricknelson@gmail.com

Chapter Vice-President: Loren Silver phone & email: 928-241-1673 silverspur68@gmail.com

Chapter Secretary: Linda Nelson phone & email: 928-225-9820 lindanelson@navajochapters.org

Chapter Treasurer: Linda Nelson phone & email: 928-225-9820 lindanelson@navajochapters.org

Chapter Manager or CSC: Sherry Dick phone & email: 928-654-3280 sherry.dick@nnchapters.org

DCD/Chapter ASO: Eunice Begay, SPPS phone & email: 928-654-3933 ejbegay@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: 1,760,468.00 FRF funding period: Notice to Proceed 10/01/2022 - 9/30/2026
indicate Project starting and ending/desired date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Indian Wells Chapters requesting \$1.7 M to assist community members with housing renovation and repairs to provide stable and healthy home for those in need. Stable and healthy homes can help to combat COVID-19 pandemic and future mass diseases. Families living in multi-generational, elderlies, diasled, young families and economic disadvantage family homes and to provide individuals with sanitary infrastructures to help combat COVID-19 pandemic and any future mass diseases. Home renovations will include but not limited to the following: Roof repair/replacement, Exterior wall repair/replacement, floor repair/replacement, windows & door repair/replacement, purchase & installation of HVAC units for alternative heating/cooling sources.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

This project will benefit the needs of the Indian Wells community by providing stable and standardized living quarters. Indian Wells Chapter strives to provide assistance to members who have limited economic opportunities during COVID-19 pandemic, stable housing will improve the living conditions of families that in the multi-generational homes and provide more sanitary conditions.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

APPENDIX A

Program(s) or Project(s) by December 31, 2026:

Local electricians, plumbers, skilled carpenters, and laborers will be hired. Homes assessment of 50 community members are completed. Advertisement for contractor(s): February 2023 to Aug. 2023, Procurement: August 2023 to March 2024, Construction: September 2023 to September 2026. Purchasing building materials in bulk and the supply chain could be challenging. document attached

(d) Identify who will be responsible for implementing the Program or Project:

Division of Community Development
Indian Wells Chapter document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Homeowners will be responsible for the operation and maintenance of the renovated homes. document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Project: 2.18 - Housing Support; Other housing assistance
Providing families, that are living in a sub-standard conditions, renovations to their homes to improve their living conditions of multi-generational families, the elders, disable, young families, and economic disadvantage individuals. Assisting families with alternative heating/cooling sources to eliminate the spread of COVID-19. document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Chapter Resolution: IWC-22-61
Navajo Nation OMB Forms: 1,2,& 4 Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: [Signature] Approved by: [Signature]
Signature of Preparer/CONTACT PERSON Signature of Chapter President (or Vice-President)
Approved by: [Signature] Approved by: [Signature]
Signature of SSC Signature of Chapter ASO
Approved to submit for Review: [Signature] 8/24/2022
Signature of DCO Director

Appendix J:
Project Budget
Summary
Per
CJN-29-22

THE NAVAJO NATION
PROJECT BUDGET SCHEDULE

PART I. Business Unit No. <u>New</u> Project Title <u>ARPA Funds</u> Project Description: <u>Housing renovation, modernization and weatherization</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification	PART II. Project Information Project Type <u>ARPA Funds</u> Planned Start Date <u>10/1/2023</u> Planned End Date <u>09/30/2024</u> Project Manager <u> </u>	PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish. Expected Completion Date if project exceeds 8 FY Qtrs.																
Use Fiscal Year (FY) Quarters to complete the information below: O = Oct., N = Nov., D = Dec., etc. FY <u> </u>																		
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	Jul	A	S	O	N	D	J	F	M	
	O	N	D	J	A	M	A	M	J	J	A	S	O	N	D	J	F	M
\$																		
PART V. Expected Quarterly Expenditures																		
PROJECT TOTAL																		

FOR OMB USE ONLY: Resolution No. FMIS Set Up Date Company No. OMB Analyst:

Signed
Budget

Forms 1, 2 & 4

(Other budget forms if needed)

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

Indian Wells Chapter - Housing Renovations.

PART I. Business Unit No.: _____ New _____ Sherry Dick _____ Program Title: _____
Prepared By: _____ Phone No.: 926-654-3289/3260 Email Address: Sherry Dick (907) 328-9260
Division/Branch: _____

PART II. FUNDING SOURCE(S)		Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	NYC Original Budget	Proposed Budget	Difference of Total
ARPA Funds		01/2022 - 03/2024	1,750,468.00	100%	2021 Personnel Expenses		418,240	418,240			
					3600 Travel Expenses						
					3400 Meeting Expenses						
					4000 Supplies						
					5000 Lease and Rental						
					5500 Communications and Utilities						
					6000 Repairs and Maintenance						
					6500 Contractual Services						
					7000 Special Transactions						
					8000 Public Assistance						
					9000 Capital Outlay						
					9500 Matching Funds						
					9600 Indirect Cost						
					TOTAL			\$ 1,750,468.00	1,750,468.00		
					PART IV. POSITIONS AND VEHICLES						
					TOTAL # of Positions Budgeted						
					TOTAL # of Vehicles Budgeted						

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE

SUBMITTED BY: Sonlatso Jim-Martin, DMH APPROVED BY: Dr Pearl Yelaman, Division Director
 Program Manager's Printed Name: Sonlatso Jim-Martin, DMH Division Director / Branch Chief's Printed Name: Dr Pearl Yelaman, Division Director
 Program Manager's Signature and Date: [Signature] 03/29/2023 Division Director / Branch Chief's Signature and Date: [Signature] 8.30.22



Handwritten signature

**THE NAVAJO NATION
 PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:
 Business Unit No.: _____ New _____ Program Name/Title: Indian Wells Chapter - Housing Renovations. ~~ARPA Funds~~

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:
 C/JN-29-22 Navajo Fiscal Recovery Fund on the Navajo Nation

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: To renovate, modernize and weatherized homes Program Performance Measure/Objective: Provide home improved to 100 livable homes within the Indian Wells community	25		25		25		25	
2. Goal Statement: Program Performance Measure/Objective:								
3. Goal Statement: Program Performance Measure/Objective:								
4. Goal Statement: Program Performance Measure/Objective:								
5. Goal Statement: Program Performance Measure/Objective:								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

_____ Sontlisa Jim-Martin, Department Manager II Program Manager's Printed Name _____ 08/06/2022 Program Manager's Signature and Date	_____ Dr. Pearl Yellowman, Division Director Division Director/Branch Chief's Printed Name _____ 8.20.22 Division Director/Branch Chief's Signature and Date
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S.M. Adams

2/9/2023

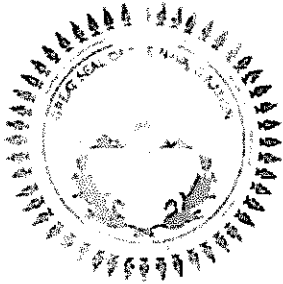
**THE NAVAJO NATION
 DETAILED BUDGET AND JUSTIFICATION**

FY

PART I. PROGRAM INFORMATION:		Business Unit No.:	New
Program Name/Title: <u>Indian Wells Chapter - Housing Renovations. ARPA Fund</u>			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(U)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Subject Code (LOD 6)	Total by MAJOR Subject Code (LOD 4)
LOD 6 - 2001 Person-Expense	Skilled Carpenters = 4 @ \$22.00 p/hr x 1200	165,600	408,916
LOD 5 - 2310 Person - Temp Fit	Laborers = 16 @ \$14.00 p/hr x 1200 hrs	268,800	
	\$374,400 x 6.2%	22,213	
	\$374,400 x 1.45%	5,429	
	\$374,400 x 1.15%	4,308	
	\$374,400 / \$100 x 0.70	2,621	
LOD 6 - 4120 Operating Supplies	75,483.00	75,483	
LOD 6 - 5370 Equipment Rental	100,000.00	100,000	
LOD 6 - 8510 Housing Const Materials	1,000,000.00	1,000,000	
	Infrastructure (non cap)		
	8560 - Infrastructure Contingency (non cap)	178,048.00	
TOTAL		1,760,478	1,760,478

* Edit by Cons. 11/10/13

Chapter Resolutions
and Other
Supporting
Documents



INDIAN WELLS CHAPTER
OF
THE NAVAJO NATION

Ricky Nelson
President
Loren Silver
Vice President
Linda Nelson
Secretary/Treasurer
Keaton Clark
Grazing Officer

RESOLUTION OF INDIAN WELLS CHAPTER

REQUESTING, ACCEPTING, AND APPROVING CJN-29-22, AMERICAN RESCUE
PLAN ACT (ARPA) FUNDING IN THE AMOUNT OF \$1,760,468.00 FOR INDIAN
WELLS CHAPTER TO BE UTILIZE FOR HOME RENOVATIONS,
MODERNIZATIONS, AND WEATHERIZATIONS

RESOLUTION # IWC-22-61

WHEREAS:

1. The Indian Wells Chapter is a recognized Chapter of the Navajo Nation and recognized as a local governmental entity vested with certain authority and responsibilities to consider and act on matters of local concern that are in the best interest of health, safety and welfare of the Chapter membership and residents; and
2. Pursuant to 26 NNC, Navajo Nation Local Governance Act (LGA), the Navajo Nation Council delegates Chapters governmental authority with respect to local matters consistent with Navajo Nation Laws including customs and traditions to make decisions over local matter and to govern with responsibility and accountability to the local citizens; and
3. Indian Wells Chapter appreciates the 24TH Navajo Nation Council, Office of President, and Vice President in passing and signing Legislation CJN-29-22: American Rescue Plan Act; and
4. Indian Wells community members have requested expenditure for housing renovations, modernizations, weatherization of multi-generational, elderly, disabled, young families and economic disadvantage community member homes; and
5. Indian Wells Chapter will utilize these funds to hire local skilled carpenters, Public Employment Program (PEP) laborers, professional services, purchase materials and equipment for this renovation projects; and
6. Indian Wells Chapter recognizes the need for these home renovations to combat Covid 19 and improve substandard living conditions for the multi-generational, elderly, disabled, young families and economic disadvantage homes; and

NOW, THEREFORE BE IT RESOLVED THAT:

Indian Wells Chapter requests, accepts and approves Legislation CJN-29-22, American Rescue Plan Act funding in the amount of \$1,760,468.00 for 2.18 Housing Support; Other Housing assistance and 3.3 Public Sector Workforce; Other in providing Home Renovations for Indian Wells community members to be utilized for home renovations, modernizations, and weatherization.

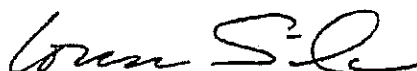
CERTIFICATION

We, hereby certify that the foregoing resolution was duly considered by the Indian Wells Chapter at Indian Wells, Navajo Nation, Arizona at a duly called meeting where a quorum was present and the same passed by a vote of 14 in favor, 1 opposed and 2 abstained on this 21st day of August 2022.


Motion: George John Second: Mary Ann Begay



Ricky Nelson, President



Loren Silver, Vice President



Linda Nelson, Secretary/Treasurer